

Evidence for single top quark production at DØ

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Outline

- 1 Tevatron accelerator and DØ detector
- 2 Single top quark production — Should you care?
- 3 Preparing for the measurement
 - Event selection
 - Signal and background samples
 - b tagging
- 4 Multivariate analysis techniques
- 5 Expected sensitivity
- 6 Cross sections and significance
- 7 First direct measurement of $|V_{tb}|$
- 8 Conclusion



The Tevatron at Fermilab

- Located outside Chicago, Illinois
- The world's highest-energy accelerator
- $p\bar{p}$ collider, centre-of-mass energy 1.96 TeV
- Run I: 1992-1996 at 1.8 TeV
- Started operating for Run II in March 2001
- Upgraded for Run II
 - 396 ns bunch spacing
 - new Main Injector and Recycler
 - ⇒ increased antiproton intensity

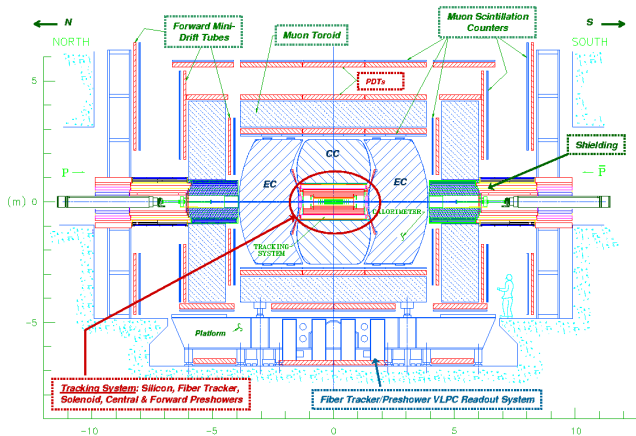


- Peak luminosity
 $> 2.5 \cdot 10^{32} \text{ cm}^{-2}\text{s}^{-1}$



The DØ detector upgrade

- 2 T superconducting solenoid
- silicon detector
- fiber tracker
- preshower detector
- upgraded muon system
- new calorimeter electronics
- upgraded trigger and DAQ



The collaboration

- 670+ physicists, 90 institutes, 19 countries



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Delhi U., Delhi
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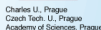
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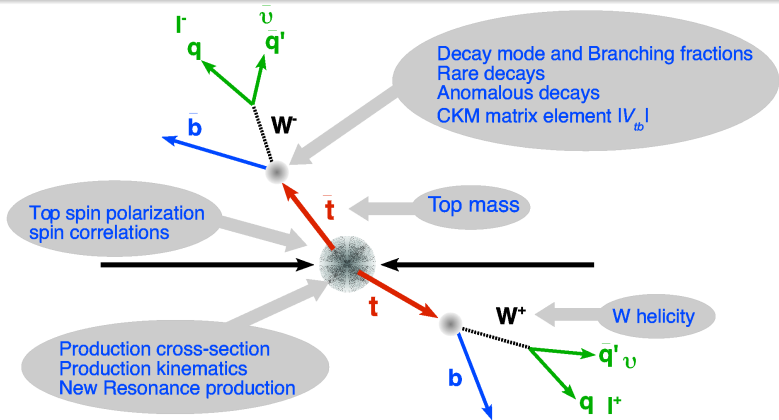
Ann Heinson, UC Riverside

DØ McGill

- Brigitte Vachon
- Chris Potter
- Gustavo Kertzscher
- Camille Belanger-Champagne
- Philippe Vachon-Rivard, Bertrand Chapleau

Top quark physics

- top quark discovered in 1995 by CDF and DØ at the Tevatron
- Heaviest of all fermions
- Couples strongly to Higgs boson
- So far only observed in pairs, only at the Tevatron



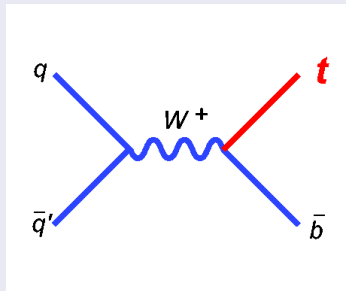
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Single top quark production

- Never observed before: electroweak production

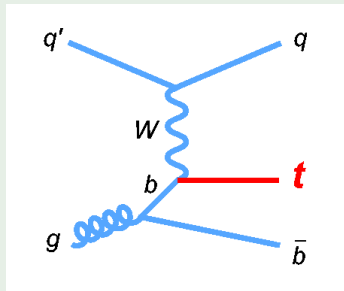
s-channel (tb)



- $\sigma_{NLO} = 0.88 \pm 0.11 \text{ pb} (*)$
- previous limits (95% C.L.):

Run II DØ: $< 5.0 \text{ pb} (370 \text{ pb}^{-1})$
Run II CDF: $< 3.1 \text{ pb} (700 \text{ pb}^{-1})$

t-channel (tqb)



- $\sigma_{NLO} = 1.98 \pm 0.25 \text{ pb} (*)$
- previous limits (95% C.L.):

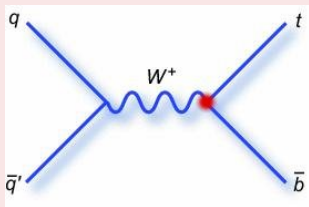
Run II DØ: $< 4.4 \text{ pb} (370 \text{ pb}^{-1})$
Run II CDF: $< 3.2 \text{ pb} (700 \text{ pb}^{-1})$

(*) $m_t = 175 \text{ GeV}$, Phys.Rev. D70 (2004) 114012



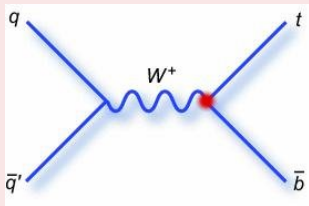
Why do we care? — $|V_{tb}|$

- Has never been observed before!
- It should happen (if SM is right)
- The value of the cross section is a SM test and the first measurement of $|V_{tb}|$



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Direct access to $|V_{tb}|$

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

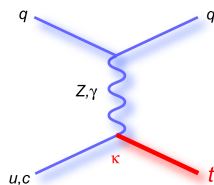
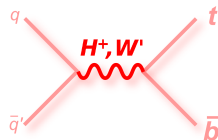
- Weak interaction eigenstates are not mass eigenstates
- In SM: top must decay to a W and d , s or b quark
 - $V_{td}^2 + V_{ts}^2 + V_{tb}^2 = 1$
 - constraints on V_{td} and V_{ts} :
 $|V_{tb}| = 0.9991^{+0.000034}_{-0.000004}$
- New physics:
 - $V_{td}^2 + V_{ts}^2 + V_{tb}^2 < 1$
 - no constraint on V_{tb}
 - e.g. 4th generation:
 $0.07 < |V_{tb}| < 0.9993$

Why do we care? — New physics

- s and t cross sections differently sensitive to new physics

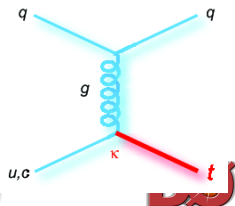
s-channel: charged resonances

- heavy W' boson in topflavour model (separate interaction for 3rd family)
- charged Higgs boson $H^\pm$ in models with extra Higgs doublets (e.g. MSSM)
- charged top pion in topcolor-assisted technicolor
- 4th generation (reduced cross section from $|V_{tb}| < 1$)
- Kaluza-Klein excited W_{KK} , etc...



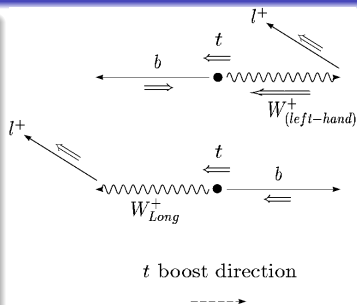
t-channel: new interactions

- flavour-changing neutral currents ($t - Z/\gamma/g - c$ and/or $t - Z/\gamma/g - u$ couplings)
- 4th generation (potentially strong enhancement from large V_{ts})



Why do we care? — Top quark spin

- Large mass $\Rightarrow$ top quark decays before it can hadronize (no top jets)
- First chance to study a bare quark!
- Top polarization reflected in angular distributions of decay products
- SM predicts high degree of left-handed tops $\Rightarrow$ possible sign of new physics, or help pin down what new physics



Helicity basis

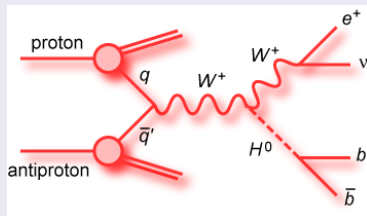
- $\hat{z}$ -axis along top quark direction of motion
- $\sim 80(97)\%$ polarization for $s(t)$ channel at parton level
- $\sim 68(88)\%$ polarization for $s(t)$ channel after reconstruction

Optimal basis

- $\hat{z}$ -axis along direction of d -type quark: mostly antiproton beamline for s -channel, spectator jet for t -channel
- $\sim 96(98)\%$ polarization for $s(t)$ channel at parton level
- $\sim 71(89)\%$ polarization for $s(t)$ channel after reconstruction

Why do we care? — Backgrounds, analysis techniques

Higgs searches



- Important background to WH associated Higgs production
- As soon as we discover it, somebody will try to get rid of it....

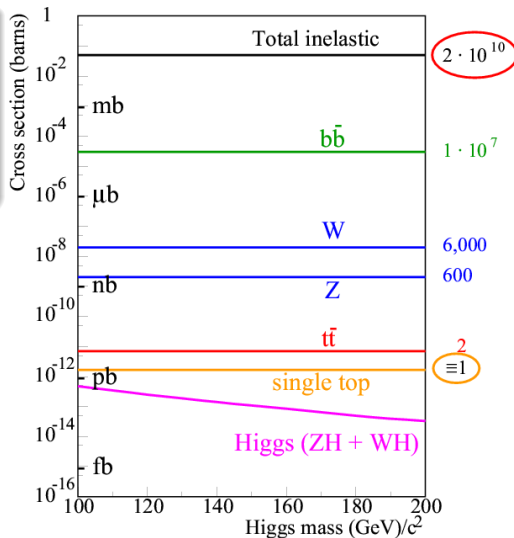
Advanced analysis techniques

- Test of techniques to extract small signal out of large background
- If tools don't work for single top, forget about the Higgs and other small signals
- If tools don't work at Tevatron, not much hope for LHC

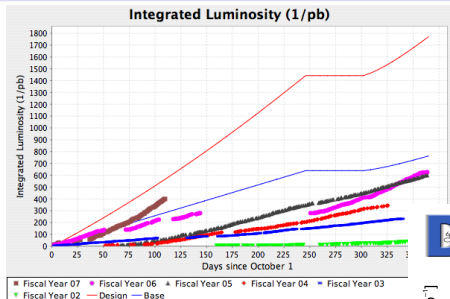


It has been challenging for years...

- Several publications since Run I by DØ and CDF
- 7 DØ and 6 CDF PhDs
- $\sigma_{t\bar{t}}$ only $\sim 2 \times \sigma_{\text{single top}}$, but has striking signature



Tevatron and DØ luminosities

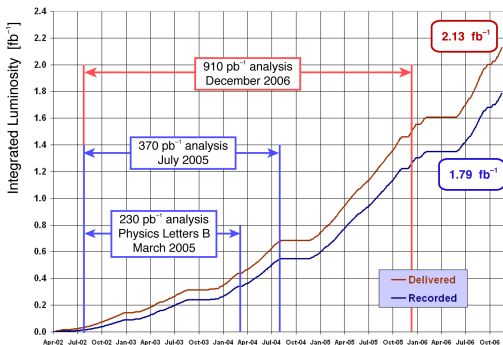


- Interesting physics only a tiny fraction of collisions
⇒ increase the number of collisions



Run II Integrated Luminosity

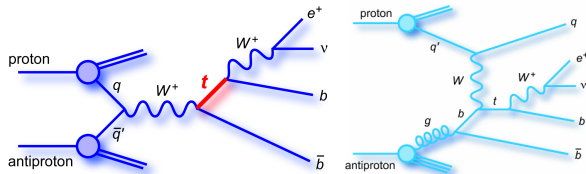
Apr 2002 – Dec 2006



- Trigger required to select events at 50 Hz from 2.5 MHz collisions
- Large amount of data, reprocessed on computing grid
- Lots of Monte Carlo events produced on the grid

Many thanks to the Accelerator Division

Event selection



Signature

- isolated lepton
- $\cancel{E}_T$
- jets
- at least 1 b-jet

Event selection

- Only one tight (no loose) lepton
 - electron: $p_T > 15 \text{ GeV}$, $|\eta_{det}| < 1.1$
 - muon: $p_T > 18 \text{ GeV}$, $|\eta_{det}| < 2$
- $15 < \cancel{E}_T < 200 \text{ GeV}$
- 2-4 jets: $p_T > 15 \text{ GeV}$, $|\eta| < 3.4$
 - Leading jet: $p_T > 25 \text{ GeV}$, $|\eta_{det}| < 2.5$
 - Second leading jet: $p_T > 20 \text{ GeV}$
- Mis-reconstructed events: require $\cancel{E}_T$ direction not aligned or anti-aligned in azimuth with lepton or jet
- One or two b -tagged jets

Signal and backgrounds

Single top signal ($m_t = 175$ GeV)

- CompHEP-SingleTop + Pythia

W+jets

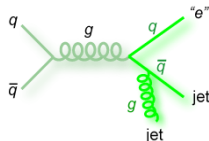
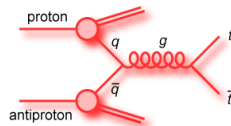
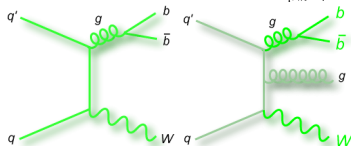
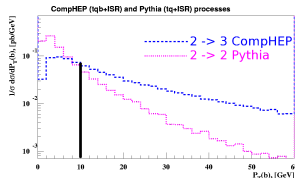
- Most difficult background
- Alpgen+Pythia (MLM matching between matrix elements and parton shower)
- Heavy flavour fraction and normalization from data

$t\bar{t}$ ($m_t = 175$ GeV)

- Alpgen+Pythia (MLM)
- Normalized to $\sigma_{NNLO} = 6.8$ pb

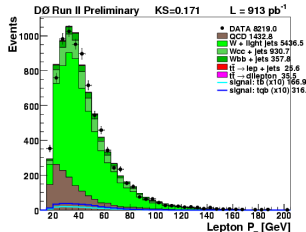
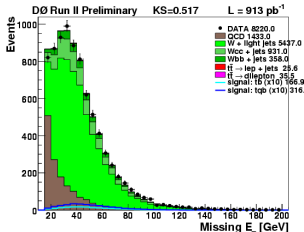
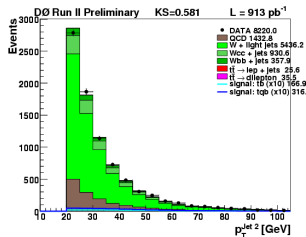
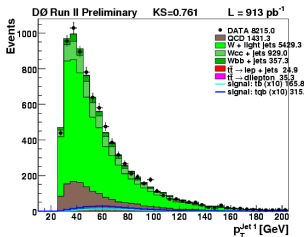
Multijet events

- misidentified lepton, from data



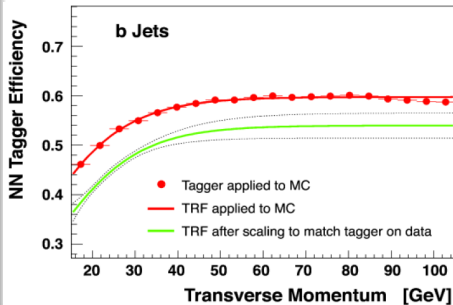
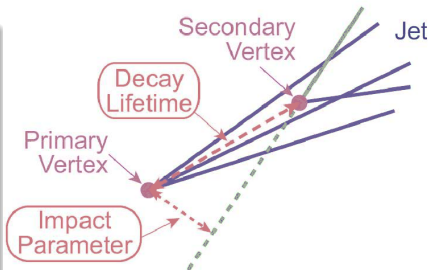
Event selection — Agreement before tagging

- Normalize W+jets and multijet to data before tagging
- Checked 90 variables, 4 jet multiplicities, electron + muon
- Good description of data



b-jet tagger

- NN trained on 7 input variables from existing taggers.
 - secondary vertices
 - impact parameter
- **Much improved performance!**
 - fake rate reduced by 1/3 for same b efficiency relative to previous tagger
 - smaller systematic uncertainties
- Tag Rate Functions (TRFs) in η , p_T , z -PV applied to MC
- Operating point:
 - b -jet efficiency $\sim 50\%$
 - c -jet efficiency $\sim 10\%$
 - light jet efficiency $\sim 0.5\%$



Event selection — Splitting by S:B

Percentage of single top *tb+tbq* selected events and S:B ratio (white squares = no plans to analyze)

Electron + Muon	1 jet	2 jets	3 jets	4 jets	≥ 5 jets
0 tags	10% 1 : 3,200	25% 1 : 390	12% 1 : 300	3% 1 : 270	1% 1 : 230
1 tag	6% 1 : 100	21% 1 : 20	11% 1 : 25	3% 1 : 40	1% 1 : 53
2 tags		3% 1 : 11	2% 1 : 15	1% 1 : 38	0% 1 : 43



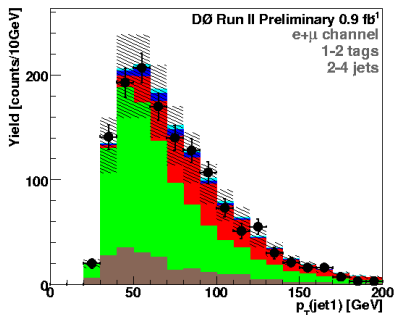
Systematic uncertainties

- Assigned per background, jet multiplicity, lepton flavour and number of tags
- Uncertainties that affect both normalisation and shapes: jet energy scale and tag rate functions (b -tagging parameterisation)
- All uncertainties sampled during limit-setting phase

Relative systematic uncertainties

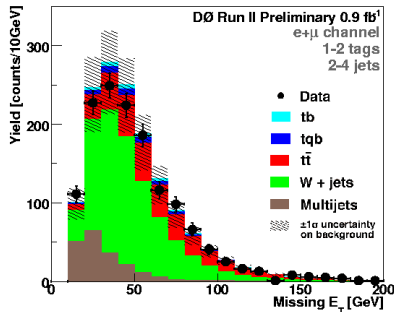
$t\bar{t}$ cross section	18%	Primary vertex	3%
Luminosity	6%	e reco * ID	2%
Electron trigger	3%	e trackmatch & likelihood	5%
Muon trigger	6%	μ reco * ID	7%
Jet energy scale	wide range	μ trackmatch & isolation	2%
Jet efficiency	2%	$\varepsilon_{\text{real}-e}$	2%
Jet fragmentation	5–7%	$\varepsilon_{\text{real}-\mu}$	2%
Heavy flavor ratio	30%	$\varepsilon_{\text{fake}-e}$	3–40%
Tag-rate functions	2–16%	$\varepsilon_{\text{fake}-\mu}$	2–15%

Agreement after tagging



Sample	# of Events
s&t-channel Signal	62
Wjj	174
tt→l+jets	266
Wbb & Wcc	675
Mis-ID's leptons	201
Diboson, tt→ dileptons	82

Totals	2 Jets	3 Jets	4 Jets
Data	697	455	246
Total Background	685	460	253
Signal	36	20	6



Multivariate analysis techniques

- Boosted decision trees
- Matrix element
- Bayesian neural networks

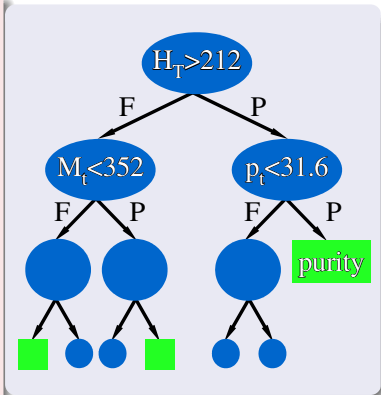


Decision trees

- Machine-learning technique, widely used in social sciences
- Idea: recover events that fail criteria in cut-based analysis

- Start with all events = first node
 - sort all events by each variable
 - for each variable, find splitting value with best separation between two children (mostly signal in one, mostly background in the other)
 - select variable and splitting value with best separation, produce two branches with corresponding events ((F)ailed and (P)assed cut)
- Repeat recursively on each node
- Splitting stops: terminal node = leaf

- DT output = leaf purity, close to 1 (0) for signal (bkg)



Splitting a node

Impurity $i(t)$

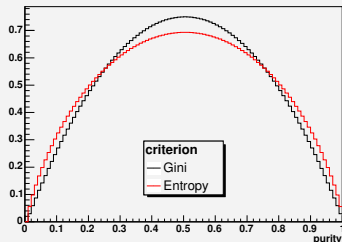
- maximum for equal mix of signal and background
 - symmetric in p_{signal} and $p_{\text{background}}$
 - minimal for node with either signal only or background only
 - strictly concave $\Rightarrow$ reward purer nodes
- Decrease of impurity for split s of node t into children t_L and t_R (goodness of split):
$$\Delta i(s, t) = i(t) - p_L \cdot i(t_L) - p_R \cdot i(t_R)$$
 - Aim: find split s^* such that:

$$\Delta i(s^*, t) = \max_{s \in \{\text{splits}\}} \Delta i(s, t)$$

- Maximizing $\Delta i(s, t) \equiv$ minimizing overall tree impurity

Examples

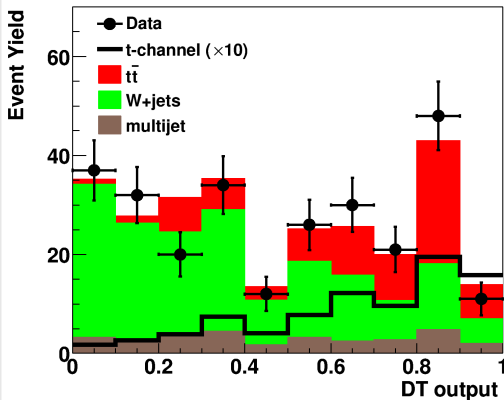
$$\text{Gini} = 1 - \sum_{i=s,b} p_i^2 = \frac{2sb}{(s+b)^2}$$
$$\text{entropy} = - \sum_{i=s,b} p_i \log p_i$$



Decision tree output

Measure and apply

- Take trained tree and run on independent pseudo-data sample, determine purities
- Apply to data
- Should see enhanced separation (signal right, background left)
- Could cut on output and measure, or use whole distribution to measure



Boosting a decision tree

Boosting

- Recent technique to improve performance of a weak classifier
- Recently used on decision trees by GLAST and MiniBooNE
- Basic principal on DT:
 - train a tree T_k
 - $T_{k+1} = \text{modify}(T_k)$

AdaBoost algorithm

- Adaptive boosting
- Check which events are misclassified by T_k
- Derive tree weight α_k
- Increase weight of misclassified events by e^{α_k}
- Train again to build T_{k+1}
- Boosted result of event i :
$$T(i) = \sum_{n=1}^{N_{\text{tree}}} \alpha_k T_k(i)$$

- Averaging $\Rightarrow$ dilutes piecewise nature of DT
- Usually improves performance

Ref: Freund and Schapire, "Experiments with a new boosting algorithm", in *Machine Learning: Proceedings of the Thirteenth International Conference*, pp 148-156 (1996)



Decision tree parameters

DT choices

- 1/3 of MC for training
- AdaBoost parameter $\beta = 0.2$
- 20 boosting cycles
- Signal leaf if purity > 0.5
- Minimum leaf size = 100 events
- Same total weight to signal and background to start
- Goodness of split - Gini factor

Analysis strategy

- Train 36 separate trees:
 - 3 signals ($s, t, s + t$)
 - 2 leptons (e, μ)
 - 3 jet multiplicities (2,3,4 jets)
 - 2 b -tag multiplicities (1,2 tags)
- For each signal train against the sum of backgrounds



Decision Trees - 49 input variables

Object Kinematics

$p_T(\text{jet1})$
 $p_T(\text{jet2})$
 $p_T(\text{jet3})$
 $p_T(\text{jet4})$
 $p_T(\text{best1})$
 $p_T(\text{notbest1})$
 $p_T(\text{notbest2})$
 $p_T(\text{tag1})$
 $p_T(\text{untag1})$
 $p_T(\text{untag2})$

Angular Correlations

$\Delta R(\text{jet1}, \text{jet2})$
 $\cos(\text{best1}, \text{lepton})_{\text{besttop}}$
 $\cos(\text{best1}, \text{notbest1})_{\text{besttop}}$
 $\cos(\text{tag1}, \text{alljets})_{\text{alljets}}$
 $\cos(\text{tag1}, \text{lepton})_{\text{btaggedtop}}$
 $\cos(\text{jet1}, \text{alljets})_{\text{alljets}}$
 $\cos(\text{jet1}, \text{lepton})_{\text{btaggedtop}}$
 $\cos(\text{jet2}, \text{alljets})_{\text{alljets}}$
 $\cos(\text{jet2}, \text{lepton})_{\text{btaggedtop}}$
 $\cos(\text{lepton}, Q(\text{lepton}) \times z)_{\text{besttop}}$
 $\cos(\text{lepton}_{\text{besttop}}, \text{besttop}_{\text{CMframe}})$
 $\cos(\text{lepton}_{\text{btaggedtop}}, \text{btaggedtop}_{\text{CMframe}})$
 $\cos(\text{notbest}, \text{alljets})_{\text{alljets}}$
 $\cos(\text{notbest}, \text{lepton})_{\text{besttop}}$
 $\cos(\text{untag1}, \text{alljets})_{\text{alljets}}$
 $\cos(\text{untag1}, \text{lepton})_{\text{btaggedtop}}$

Event Kinematics

$A_{\text{planarity}}(\text{alljets}, W)$
 $M(W, \text{best1})$ ("best" top mass)
 $M(W, \text{tag1})$ ("b-tagged" top mass)
 $H_T(\text{alljets})$
 $H_T(\text{alljets} - \text{best1})$
 $H_T(\text{alljets} - \text{tag1})$
 $H_T(\text{alljets}, W)$
 $H_T(\text{jet1}, \text{jet2})$
 $H_T(\text{jet1}, \text{jet2}, W)$
 $M(\text{alljets})$
 $M(\text{alljets} - \text{best1})$
 $M(\text{alljets} - \text{tag1})$
 $M(\text{jet1}, \text{jet2})$
 $M(\text{jet1}, \text{jet2}, W)$
 $M_T(\text{jet1}, \text{jet2})$
 $M_T(W)$
Missing E_T
 $p_T(\text{alljets} - \text{best1})$
 $p_T(\text{alljets} - \text{tag1})$
 $p_T(\text{jet1}, \text{jet2})$
 $Q(\text{lepton}) \times \eta(\text{untag1})$
 $\sqrt{\hat{s}}$
Sphericity(alljets, W)

- Adding variables does not degrade performance
- Tested shorter lists, lost some sensitivity
- Same list used for all channels



Matrix element method

- Pioneered by DØ top mass analysis. Now used in search
- Use the 4-vectors of all reconstructed leptons and jets
- Use matrix elements of main signal and bkgd diagrams to compute event probability density for signal and bkgd hypotheses
- Goal: calculate a discriminant:

$$D_s(\vec{x}) = P(S|\vec{x}) = \frac{P_{signal}(\vec{x})}{P_{signal}(\vec{x}) + P_{bkg}(\vec{x})}$$

- Encoded in properly normalized differential cross section for process S :

$$P_S(\vec{x}) = \frac{1}{\sigma_S} d\sigma_S(\vec{x}), \quad \sigma_S = \int d\sigma_S(\vec{x})$$

- Used only limited number of Feynman diagrams. Sensitivity would increase (but so does computation time) if more diagrams were included. In particular, no $t\bar{t}$ diagrams are computed (serious limitation for >2 jets)

- To verify that all of this machinery is working properly we test with many sets of pseudo-data
- Wonderful tool to test analysis methods! Run DØ experiment 1000s of times!
- Generated ensembles:
 - 0-signal ensemble ($s + t \sigma = 0$ pb)
 - SM ensemble ($s + t \sigma = 2.9$ pb)
 - “Mystery” ensembles to test analyzers ($s + t \sigma = ??$ pb)
 - Ensembles at measured cross section ($s + t \sigma = \text{measured}$)
 - A high luminosity ensemble



Ensemble Testing - Details

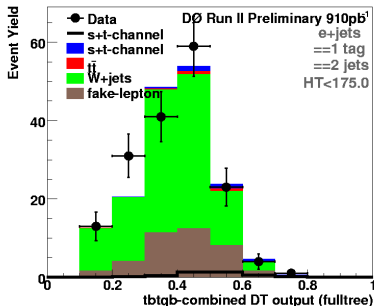
- Use a pool of weighted signal + background events (about 850k in each of electron and muon)
- Fluctuate relative and total yields in proportion to systematic errors, reproducing correlations
- Randomly sample from a Poisson distribution about the total yield to simulate statistical fluctuations
- Generate a set of pseudo-data (a member of the ensemble)
- Pass the pseudo-data through the full analysis chain (including systematic uncertainties)

All analyses achieved linear response to varying input cross sections

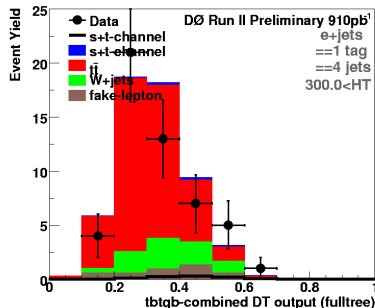
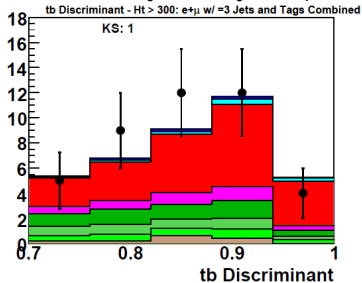


Cross-check samples

- Validate methods on data in no-signal region
- **"W+jets"**: =2jets, $H_T(\text{lepton}, \cancel{E}_T, \text{alljets}) < 175 \text{ GeV}$
- **"ttbar"**: =4jets, $H_T(\text{lepton}, \cancel{E}_T, \text{alljets}) > 300 \text{ GeV}$
- Good agreement



ME "hard W+jets": =3jets, $H_T > 300 \text{ GeV}$



Measuring cross sections

Probability to observe data distribution D ,
expecting y :

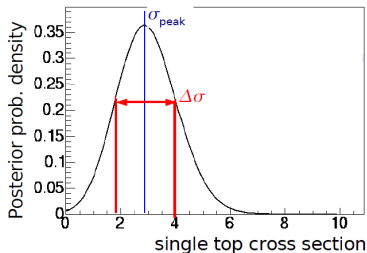
$$y = \alpha\sigma + \sum_{s=1}^N b_s \equiv a\sigma + \sum_{s=1}^N b_s$$

$$P(D|y) \equiv P(D|\sigma, a, b) = \prod_{i=1}^{nbins} P(D_i|y_i)$$

The cross section is obtained

$$Post(\sigma|D) \equiv P(\sigma|D) \propto \int_a \int_b P(D|\sigma, a, b) Prior(\sigma) Prior(a, b)$$

- Bayesian posterior probability density
- Shape and normalization systematics treated as nuisance parameters
- Correlations between uncertainties properly accounted for
- Flat prior in signal cross section



Sensitivity determination

- Use the 0-signal ensemble

Expected p-value

Fraction of 0-signal pseudo-datasets in which we measure at least 2.9 pb (SM single top cross section)

Observed p-value

Fraction of 0-signal pseudo-datasets in which we measure at least the observed cross section.

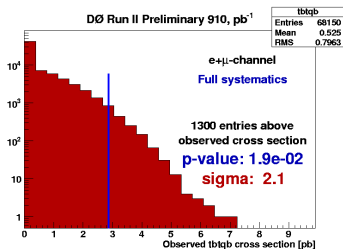
- Also use the SM ensemble to check compatibility of observed result with SM prediction



Expected sensitivity $s+t$

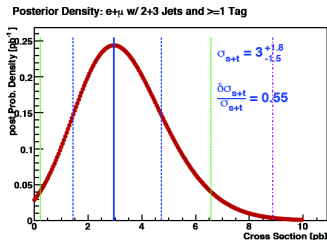
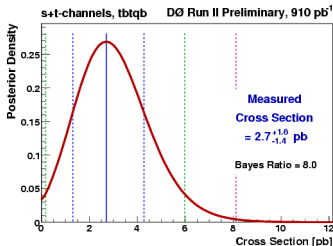
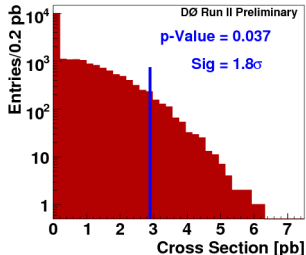
Decision Trees

p-value **1.9%** (2.1σ)



Matrix Elements

p-value **3.7%** (1.8σ)

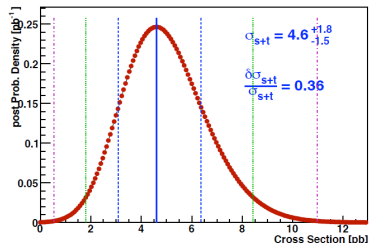


Matrix element s+t observed results

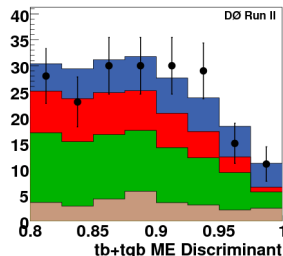
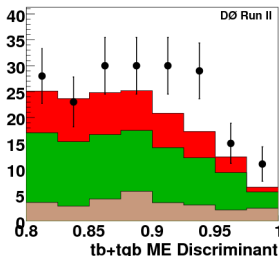
Matrix element

$\sigma = 4.6^{+1.8}_{-1.5} \text{ pb}$
p-value = 0.21% (2.9σ)
SM compatibility 21%

Posterior Density: e+u w/ 2+3 Jets and ≥ 1 Tag

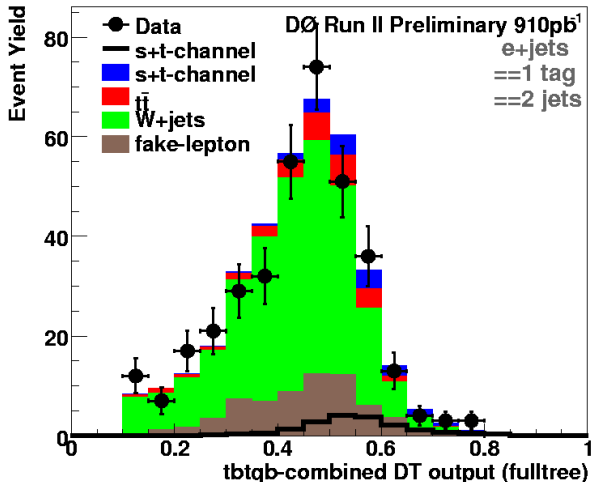


- ME discriminant output, with and without signal content (all channels combined)



Decision trees on data

- We have 36 different Decision Trees
- Example: electron, 2 jet, 1 tag

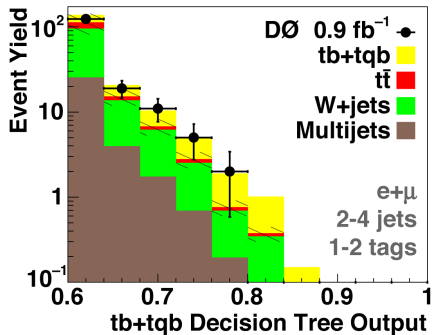
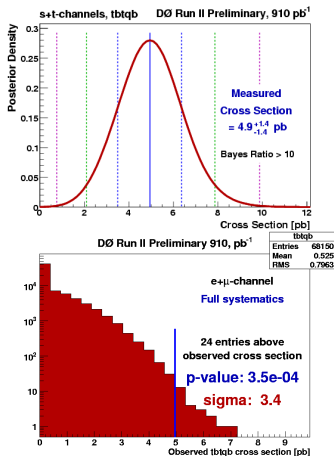


Boosted decision tree observed results

$$\sigma_{s+t} = 4.9 \pm 1.4 \text{ pb}$$

$$p\text{-value} = 0.035\% (3.4\sigma)$$

SM compatibility: 11% (1.3σ)

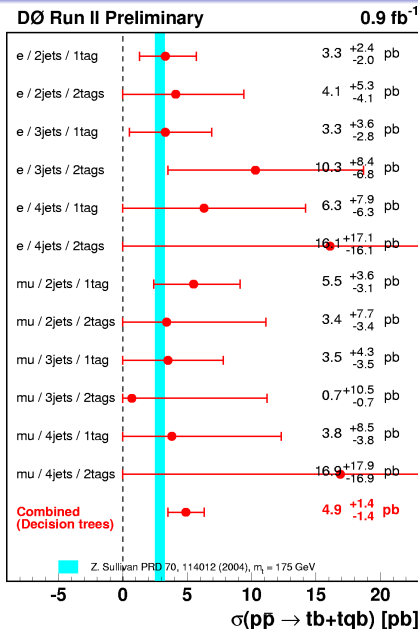


$$\sigma_s = 1.0 \pm 0.9 \text{ pb}$$

$$\sigma_t = 4.2^{+1.8}_{-1.4} \text{ pb}$$

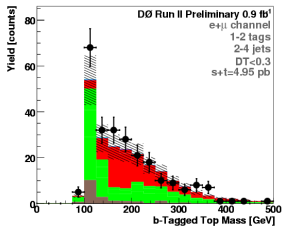


Decision trees — Summary

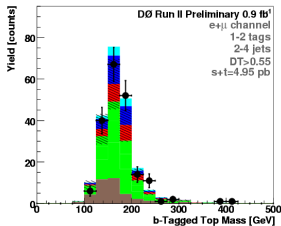


Boosted decision tree event characteristics

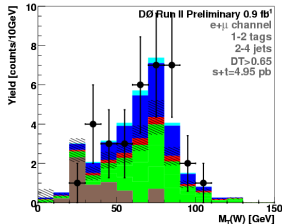
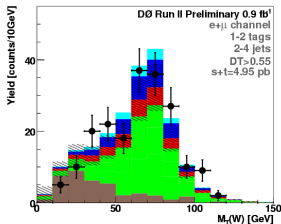
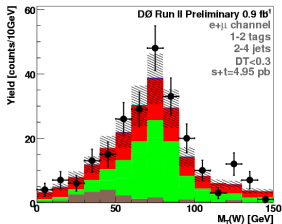
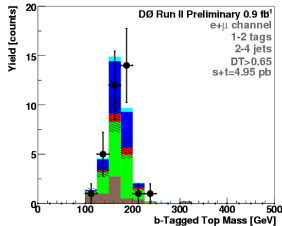
$DT < 0.3$



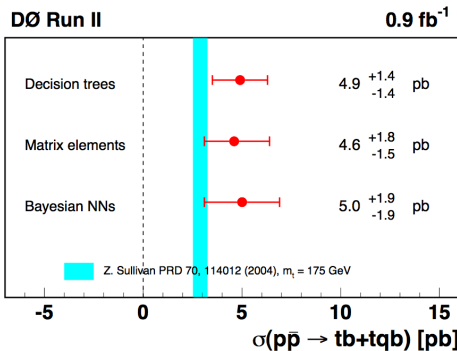
$DT > 0.55$



$DT > 0.65$



s+t summary — Correlations



High discriminant correlation

Choose the 50 highest events in each discriminant and look for overlap

	Electron	Muon
DT vs ME	52%	58%
DT vs BNN	56%	48%
ME vs BNN	46%	52%

Linear correlation

Measured cross section in 400 members of SM ensemble with all three techniques and calculated the linear correlation between each pair

	DT	ME	BNN
DT	100%	39%	57%
ME		100%	29%
BNN			100%

Measuring $|V_{tb}|$

- Now that we have a cross section measurement, we can make the first direct measurement of $|V_{tb}|$
- Use the same infrastructure as for cross section measurement but make a posterior in $|V_{tb}|^2$

Additional theoretical errors (hep-ph/0408049)

	s	t
top mass	13%	8.5%
scale	5.4%	4.0%
PDF	4.3%	10.0%
α_s	1.4%	0.01%

- Most general Wtb coupling ($P_{L,R} = (1 \mp \gamma_5)/2$):

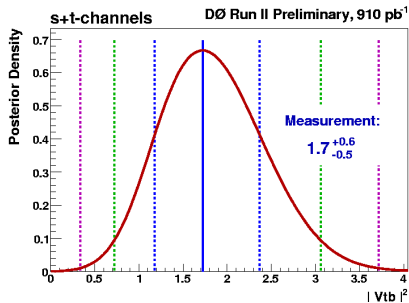
$$\Gamma_{tbW}^\mu = -\frac{g}{\sqrt{2}} V_{tb} \bar{u}(p_b) \left[\gamma^\mu (f_1^L P_L + f_1^R P_R) - \frac{i\sigma^{\mu\nu}}{M_W} (f_2^L P_L + f_2^R P_R) \right] u(p_t)$$

- SM: $f_1^L = 1$, $f_1^R = f_2^L = f_2^R = 0$
- Effectively measuring strength of $V-A$ coupling $|V_{tb}f_1^L|$, can be > 1

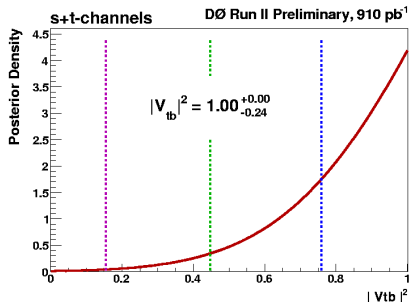


First direct measurement of $|V_{tb}|$

- Assuming $V_{td}^2 + V_{ts}^2 \ll V_{tb}^2$ and pure $V-A$ and CP-conserving Wtb interaction



$$|V_{tb}f_1^L| = 1.3 \pm 0.2$$



$$0.68 < |V_{tb}| \leq 1 \text{ @ 95\% CL}$$

(assuming $f_1^L = 1$, flat prior in $[0,1]$)

- No assumption about number of quark families or CKM matrix unitarity

Conclusion

First evidence for single top quark production (DØ decision trees)

$$\sigma(p\bar{p} \rightarrow tb + X, tqb + X) = 4.9 \pm 1.4 \text{ pb}$$

3.4 σ significance

First direct measurement of $|V_{tb}|$ (DØ decision trees)

$$|V_{tb}f_1^L| = 1.3 \pm 0.2$$

assuming $f_1^L = 1$: $0.68 < |V_{tb}| \leq 1$ @ 95% CL

(Always assuming $V_{td}^2 + V_{ts}^2 \ll V_{tb}^2$ and pure V–A and CP-conserving Wtb interaction)

hep-ex/0612052, submitted to PRL

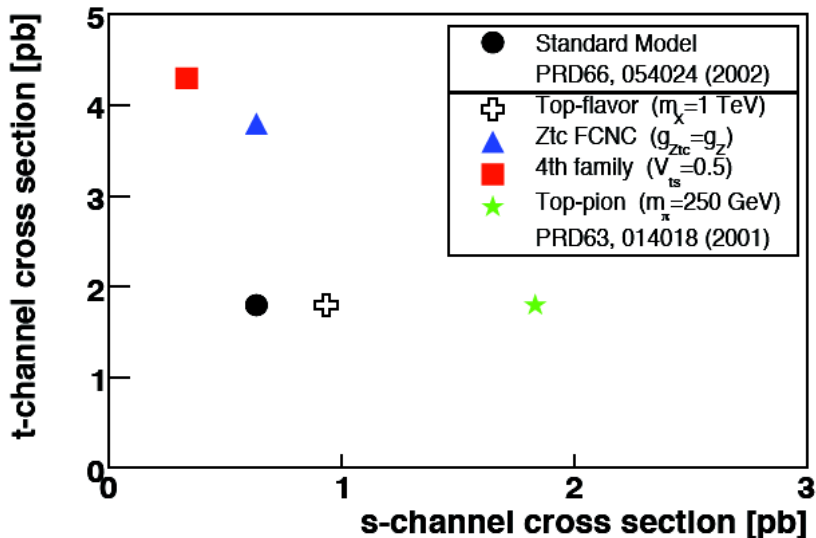
- Working on understanding correlations and on combinations
- A lot more data already at hand



Backup slides

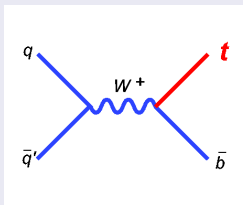


Motivation - New Physics



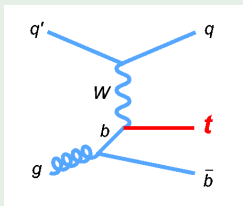
Top quark spin

s-channel: $u\bar{d} \rightarrow t\bar{b}$



p	$\bar{p}$	fraction
u	$\bar{d}$	98%
$\bar{d}$	u	2%

t-channel: $ug \rightarrow t\bar{b}d, \bar{d}g \rightarrow t\bar{b}u$

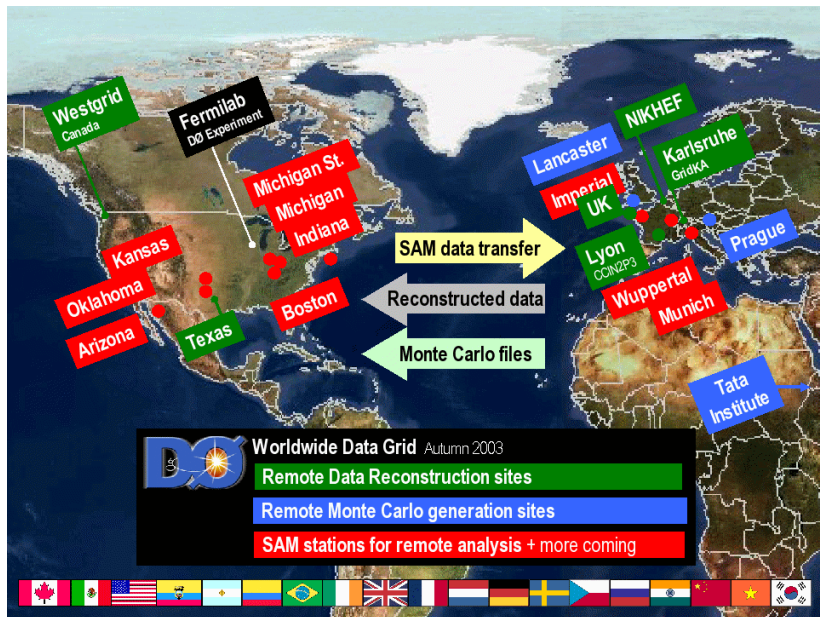


p	$\bar{p}$	fraction
u	g	74%
g	$\bar{d}$	20%
g	u	3%
$\bar{d}$	g	3%

G. Mahlon, S. Parke, PRD55(1997)7249-7254



Data reprocessing - Monte Carlo production



W+jets normalization

- Find fractions of real and fake isolated lepton in data before b -tagging. Split samples in loose and tight isolation:

$$\begin{aligned} N^{loose} &= N_{fake}^{loose} + N_{real}^{loose} \\ N^{tight} &= \epsilon_{fake} N_{fake}^{loose} + \epsilon_{real} N_{real}^{loose} \end{aligned} \quad \text{Solve for } N_{fake}^{loose} \text{ and } N_{real}^{loose}$$

- Normalize MC W+jets samples to real lepton yield found in data, accounting for presence of $t\bar{t}$:

$$\epsilon_{real} N_{real}^{loose} = SF \times (W_{jj} + W_{cc} + W_{bb}) + t\bar{t}, \quad SF \sim 1.4$$

- $(W_{bb} + W_{cc})/W_{jj}$ found in zero-tag sample: 1.5 ± 0.5
- Then apply b -tagging
 - reduces W+jets background
 - changes flavour composition and kinematic distributions



W+jets heavy flavour fraction

$$\alpha(Wb\bar{b} + Wc\bar{c}) + Wjj + t\bar{t} + \text{QCD} = \text{Data}$$

Scale Factor α to Match Heavy Flavor Fraction to Data

	1 jet	2 jets	3 jets	4 jets
Electron Channel				
0 tags	1.53 ± 0.10	1.48 ± 0.10	1.50 ± 0.20	1.72 ± 0.40
1 tag	1.29 ± 0.10	1.58 ± 0.10	1.40 ± 0.20	0.69 ± 0.60
2 tags	—	1.71 ± 0.40	2.92 ± 1.20	-2.91 ± 3.50
Muon Channel				
0 tags	1.54 ± 0.10	1.50 ± 0.10	1.52 ± 0.10	1.38 ± 0.20
1 tag	1.11 ± 0.10	1.52 ± 0.10	1.32 ± 0.20	1.86 ± 0.50
2 tags	—	1.40 ± 0.40	2.46 ± 0.90	3.78 ± 2.80



Event Selection - Yields

Source	Event Yields in 0.9 fb ⁻¹ Data		
	Electron+muon, 1tag+2tags combined		
	2 jets	3 jets	4 jets
<i>tb</i>	16 ± 3	8 ± 2	2 ± 1
<i>tqb</i>	20 ± 4	12 ± 3	4 ± 1
<i>t$\bar{t}$ → ll</i>	39 ± 9	32 ± 7	11 ± 3
<i>t$\bar{t}$ → l+jets</i>	20 ± 5	103 ± 25	143 ± 33
<i>W+b$\bar{b}$</i>	261 ± 55	120 ± 24	35 ± 7
<i>W+c$\bar{c}$</i>	151 ± 31	85 ± 17	23 ± 5
<i>W+jj</i>	119 ± 25	43 ± 9	12 ± 2
Multijets	95 ± 19	77 ± 15	29 ± 6
Total background	686 ± 41	460 ± 39	253 ± 38
Data	697	455	246

- Expected single top signal is smaller than background uncertainty!
⇒ No counting experiment, requires advanced analysis techniques

Matrix element discriminants

DØ discriminants

$$D_s(\vec{x}) = P(S|\vec{x}) = \frac{P_{\text{signal}}(\vec{x})}{P_{\text{signal}}(\vec{x}) + P_{\text{bkg}}(\vec{x})}$$

$$P_{\text{bkg}}^{2\text{jets}}(\vec{x}) = c_{Wbb}P_{Wbb}(\vec{x}) + c_{Wcg}P_{Wcg}(\vec{x}) + c_{Wgg}P_{Wgg}(\vec{x})$$

$$P_{\text{bkg}}^{3\text{jets}}(\vec{x}) = P_{Wbbg}(\vec{x})$$

- c_{Wbb} , c_{Wcg} and c_{Wgg} are in principle the relative fractions of each background
- optimized for each channel to increase sensitivity

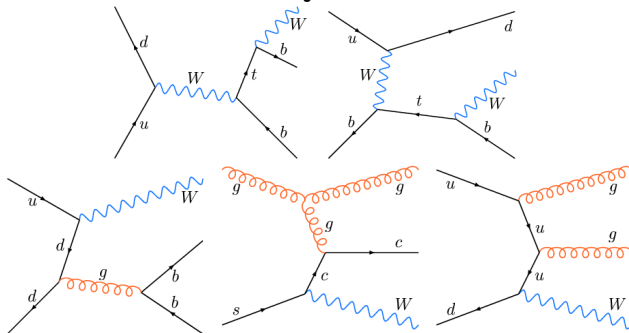
CDF discriminant

$$EPD = \frac{b \cdot P_{\text{signal}}}{b \cdot P_{\text{signal}} + b \cdot P_{Wbb} + (1 - b)P_{Wcc} + (1 - b)P_{Wcj}}$$

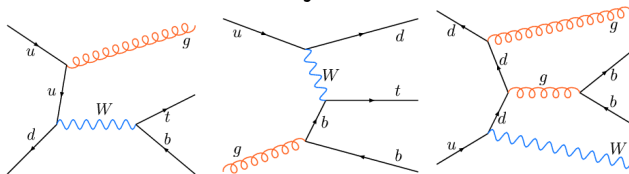
- b is the neural network b -tagger output converted to probability

Matrix element method - D0 diagrams

2-jets:



3-jets:



A different sort of neural network

- Instead of choosing one set of weights, find posterior probability density over all possible weights
- Averaging over many networks weighted by the probability of each network given the training data
- Less prone to overtraining
- For details see:
<http://www.cs.toronto.edu/~radford/fbm.software.html>
- Use 24 variables (subset of DT variables)

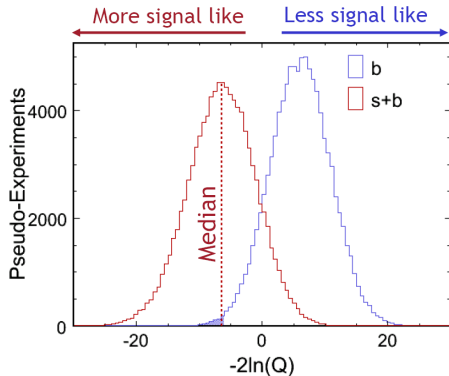


Sensitivity determination at CDF

- Using the CLs method developed at LEP
- Compare two models at a time
- Test statistic:

$$Q = \frac{L(data|s + b)}{L(data|b)}$$

- Systematic uncertainties included in pseudo-experiments
- **Expected sensitivity:** median p-value

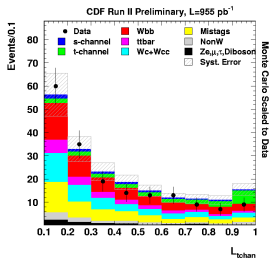


Likelihood	median p-value = 2.3%	(2.0 σ)
Matrix element	median p-value = 0.6%	(2.5 σ)
Neural network	median p-value = 0.5%	(2.6 σ)



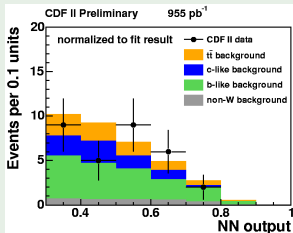
CDF s+t observed results — Preliminary

Likelihood



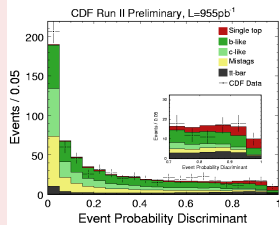
No evidence of signal
 $\sigma < 2.7\text{ pb @ 95\% CL}$
From s and t likelihoods

Neural network



No evidence of signal
 $\sigma < 2.6\text{ pb @ 95\% CL}$

Matrix element

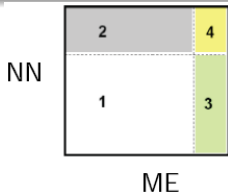
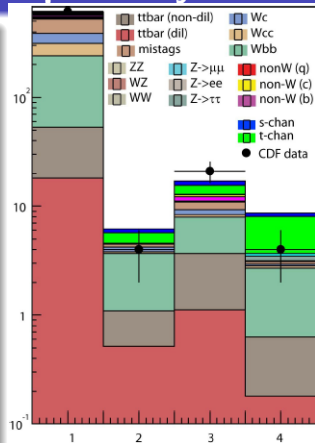


$\sigma = 2.7^{+1.5}_{-1.3}\text{ pb}$
p-value = 1.0% (2.3σ)



CDF observed results — Compatibility

- CDF spent great deal of time (6 months) and effort understanding if the different results are something more than a statistical fluctuation.
- Eliminated possibility of obvious and even subtle bugs
- 6-discriminant compatibility coming soon
- Now investigating if features of the MC modeling affect one analysis more than the other.
- Analysing more data should shed some light



Bin 1: $NN < 0.8 \ \&\& \ EPD < 0.9$

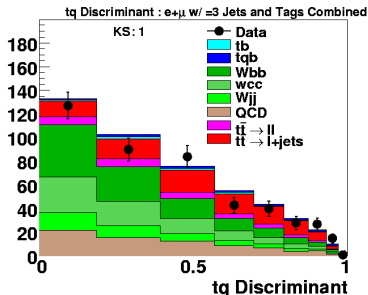
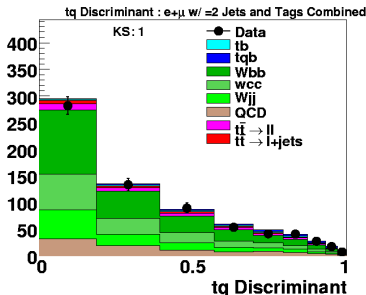
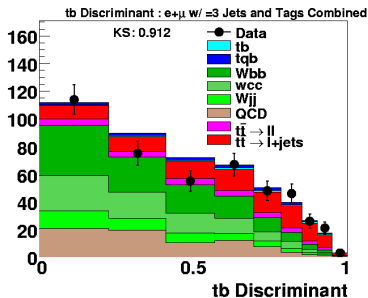
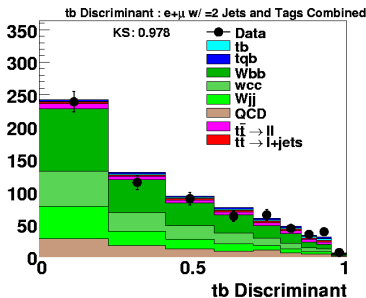
Bin 2: $NN > 0.8 \ \&\& \ EPD < 0.9$

Bin 3: $NN < 0.8 \ \&\& \ EPD > 0.9$

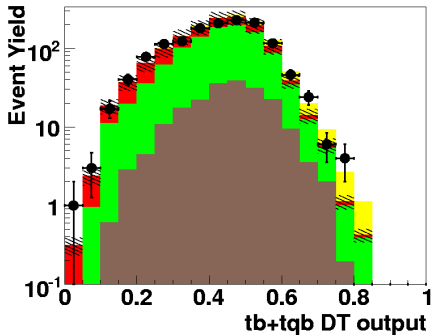
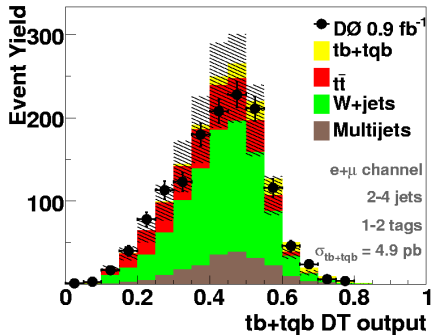
Bin 4: $NN > 0.8 \ \&\& \ EPD > 0.9$



Matrix element outputs

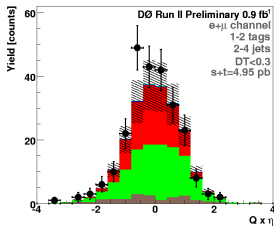


Decision tree combined outputs

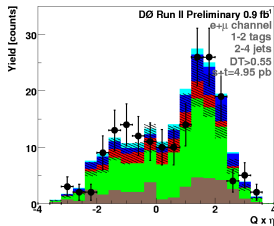


Boosted decision tree event characteristics

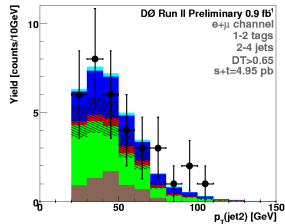
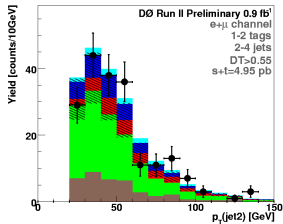
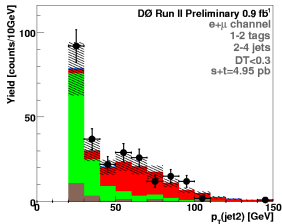
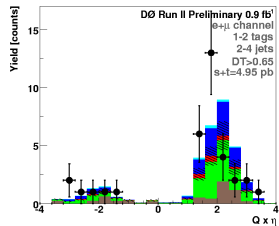
$DT < 0.3$



$DT > 0.55$



$DT > 0.65$



Single top prospects — Tevatron and LHC

Tevatron

- By 2008 we should have observed single top production and measured its cross section to 15-20%
- $|V_{tb}|$ is then known to $\sim 10\%$

LHC

- Much larger production rates:
 $\sigma_s^{t/\bar{t}} = 6.6/4.1 \text{ pb } (\pm 10\%)$
 $\sigma_t^{t/\bar{t}} = 156/91 \text{ pb } (\pm 5\%)$
 $\sigma_{tW}^{t/\bar{t}} = 34/34 \text{ pb } (\pm 10\%)$
- Try to observe all three channels (s-channel challenging)
- $|V_{tb}|$ measured to percent level
- Large samples $\Rightarrow$ study properties

